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PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number **Application Number** 09/683,250 TRANSMITTAL Filing Date December 5, 2001 **FORM** First Named Inventor Wilcox Art Unit (to be used for all correspondence after initial filing) 2686 Technology Center 2600 **Examiner Name** Ly, Nghi H. Attorney Docket Number 18 H-313 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication 1 Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC ~ Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please **Terminal Disclaimer Extension of Time Request** Identify below): Copy of Written Opinion for Request for Refund **Express Abandonment Request** PCT/US01/46557 CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm David J. Cole Individual name Signature Date September 9, 2004 **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name David J. Cole Date September 9, 2004 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03) Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known TRANSMITTAL 09/683.250 **Application Number** 12/5/2001 for FY 2004 Filing Date Wilcox First Named Inventor Technology Center 2600 Effective 10/01/2003. Patent fees are subject to annual revision. Examiner Name Ly, Nghi H. Applicant claims small entity status. See 37 CFR 1.27 Art Unit 2686 (\$) 390 **TOTAL AMOUNT OF PAYMENT** H-313 Attorney Docket No. FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) 3. ADDITIONAL FEES Money Order Check Credit card Other None Large Entity | Small Entity ✓ Deposit Account: ee **Fee Description** Deposit Code (\$) Code Fee Paid 501162 Account 65 Surcharge - late filing fee or oath 1051 130 2051 Number Deposit 1052 2052 Surcharge - late provisional filing fee or 50 25 E Ink Corporation Account cover sheet Name 1053 130 1053 130 Non-English specification The Director is authorized to: (check all that apply) 1812 2,520 1812 2,520 For filing a request for ex parte reexamination ✓ Credit any overpayments ✓ Charge fee(s) indicated below Requesting publication of SIR prior to 920 1804 9201 1804 Charge any additional fee(s) or any underpayment of fee(s) Examiner action Charge fee(s) indicated below, except for the filing fee Requesting publication of SIR after 1805 1,840 1805 1,840* Examiner action to the above-identified deposit account. 1251 110 2251 55 Extension for reply within first month **FEE CALCULATION** 210 210 Extension for reply within second month 420 2252 1252 1. BASIC FILING FEE Extension for reply within third month 1253 950 2253 arge Entity Small Entity **Fee Description** Fee Paid lFe<u>e</u> 2254 Fee Fee Code (\$) 1.480 740 1254 Extension for reply within fourth month Code (\$) 1.005 Extension for reply within fifth month 2255 1255 2,010 1001 770 2001 385 Utility filing fee 330 2401 1401 165 Notice of Appeal 1002 340 2002 170 Design filing fee 1402 330 2402 165 Filing a brief in support of an appeal 1003 530 2003 265 Plant filing fee 145 Request for oral hearing 1403 290 2403 1004 770 2004 385 Reissue filing fee 1451 1,510 1451 1,510 Petition to institute a public use proceeding Provisional filing fee 1005 160 2005 80 55 Petition to revive - unavoidable 1452 110 2452 SUBTOTAL (1) (\$ 1453 1,330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Utility issue fee (or reissue) Fee from Extra Claims 1502 480 2502 240 Design issue fee below **Total Claims** -20** = Х 2503 1503 640 320 Plant issue fee Independent 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 50 Processing fee under 37 CFR 1.17(q) 50 1807 1807 180 Large Entity | Small Entity 180 Submission of Information Disclosure Stmt 1806 180 1806 Fee Fee Code (\$) Fee Fee Code (\$) **Fee Description** 40 Recording each patent assignment per 8021 40 8021 property (times number of properties) Claims in excess of 20 1202 18 2202 9 385 Filing a submission after final rejection 1809 770 2809 86 43 Independent claims in excess of 3 (37 ČFR 1.129(a)) 1201 2201 Multiple dependent claim, if not paid 385 For each additional invention to be 1203 290 2203 145 770 1810 2810 examined (37 CFR 1.129(b)) Reissue independent claims 1204 86 2204 385 Request for Continued Examination (RCE) 770 2801 over original patent 1801 900 Request for expedited examination 1802 900 1802 ** Reissue claims in excess of 20 1205 18 2205 and over original patent of a design application Other fee (specify)

SUBMITTED BY				(Complete	(if applicable))
Name (Print/Type)	David J. Cole	Registration No. (Attorney/Agent)	29629	Telephone	617-499-6069
Signature	David J. Cole			Date	September 9, 2004

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

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SUBTOTAL (2)

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